V4 Activity Predicts the Strength of Visual Short-Term Memory Representations

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Recent studies have shown the existence of a form of visual memory that lies intermediate of iconic memory and visual short-term memory (VSTM), in terms of both capacity (up to 15 items) and the duration of the memory trace (up to 4 s). Because new visual objects readily overwrite this intermediate visual store, we believe that it reflects a weak form of VSTM with high capacity that exists alongside a strong but capacity-limited form of VSTM. In the present study, we isolated brain activity related to weak and strong VSTM representations using functional magnetic resonance imaging. We found that activity in visual cortical area V4 predicted the strength of VSTM representations; activity was low when there was no VSTM, medium when there was a weak VSTM representation regardless of whether this weak representation was available for report or not, and high when there was a strong VSTM representation. Altogether, this study suggests that the high capacity yet weak VSTM store is represented in visual parts of the brain. Allegedly, only some of these VSTM traces are amplified by parietal and frontal regions and as a consequence reside in traditional or strong VSTM. The additional weak VSTM representations remain available for conscious access and report when attention is redirected to them yet are overwritten as soon as new visual stimuli hit the eyes.

Introduction
Traditional models of visual memory distinguish between iconic memory and visual short-term memory (VSTM). Iconic memory is usually considered to be a high capacity but rapidly decaying form of visual memory, more or less like a brief (and degraded) internal snapshot of what is just seen (Sperling, 1960). VSTM, however, contains a maximum of four integrated visual objects (Luck and Vogel, 1997), and only these object representations can be maintained for longer durations. Nevertheless, recent change detection tasks using spatial cues at different moments during the trial (see Fig. 1) show that this two-stage model of visual memory is too simplistic.

Generally, in these cued change detection tasks, a memory display containing multiple objects is shown, followed by a blank retention interval, after which a test display is shown. When a spatial cue is directed to the location of the change at test (post-cue trial), subjects perform poorly, reflecting the limited capacity of VSTM (Matsukura et al., 2007; Makovski et al., 2008; Sligte et al., 2008). However, when cues are presented during the blank interval (retro-cue trial), people can report many more objects, even up to 15 items (Griffin and Nobre, 2003; Landman et al., 2003; Sligte et al., 2008).

This high capacity store seems to exist for at least four seconds after stimulus disappearance (Lepsien et al., 2005; Sligte et al., 2008), which is far longer than the duration of iconic memory. Based on these findings, we suggest that people represent many items in a weak form of VSTM and that only a few of these items receive enough attention to be stored in traditional or strong VSTM. Weak VSTM representations can be accessed when people direct attention to them during retention (as in retro-cue trials), but they are erased by subsequent stimulation, such as the test display, when they are not attended (as in post-cue trials). In this latter case, only representations in strong VSTM remain available for report.

In the present study, we aimed to unravel the neural substrate of weak VSTM and how it differs from strong VSTM. Using a cued change detection task, we were able to determine on each trial whether the cued item was in weak VSTM, in strong VSTM, or not represented in VSTM at all. We used an array of eight objects, because we needed an array that overflows the capacity of strong VSTM (i.e., is larger than four) or else all items could be in strong VSTM. We then related the representational status of the cued item to BOLD activity at its retinotopic location. This effectively restricted our analysis to visual areas V1–V4 in which we could delineate eight separate retinotopic locations corresponding to the eight objects of the memory array (see Fig. 2). To anticipate, we found that V4 activity was low when the cued item was not represented, medium when it was in weak VSTM, and high when it was in strong VSTM. These results suggest that V4 activity predicts the representational status of a VSTM representation.

Materials and Methods
Subjects. Twenty right-handed young adults (14 females) with normal or corrected-to-normal vision participated for financial compensation. The local ethics committee had approved the experiment.

Stimuli. Memory and test displays were made up of eight white (46.4 cd/m²) oriented rectangles on a black (0.2 cd/m²) background. Individ-
subjects.

Next, we outlined eight retinotopic locations in V1–V4 (E) to delineate eccentricity boundaries of specific areas. Two rectangles were rotated at the same time at locations that were maximally separated: rectangles at locations 1 and 5, locations 2 and 6, locations 3 and 7, and locations 4 and 8. Rotation speed was 90°/s. We performed a separate cortical mapping experiment in which we rotated rectangles at all eight possible memory locations of the main experiment (for an example, see Fig. 2 E). Two rectangles were rotated at the same time at locations that were maximally separated: rectangles at locations 1 and 5, locations 2 and 6, locations 3 and 7, and locations 4 and 8. Rotation speed was 90°/s. We rotated rectangles for 16 s followed by 8 s of no stimulation. In effect, each location was rotated four times for 16 s. During the entire mapping experiment, subjects performed a task in which they had to detect small
changes in the orientation of a cross within the fixation dot, which requires accurate fixation and attention. Once every 2 s, this fixation cross rotated and subjects detected on average 96 ± 3% (mean ± SD) of these changes.

In a separate session before the experiment, we presented each of the subjects with stimuli to map the polar angle and eccentricity of their visual BOLD responses to determine the locations of areas V1–V4 (for details see, Scholte et al., 2006).

Procedure. Each MRI run consisted of 16 retro-cue trials (change/no change × eight locations), 16 post-cue trials (change/no change × eight locations), and eight cue trials (eight locations). The trial order was completely randomized within runs, and each subject completed four runs (in addition, one run was performed outside of the scanner to practice the experiment). Each run started and ended with a 16-s-long baseline in which nothing was shown.

At the end of these four runs, we presented the cortical mapping experiment. In a separate session before the experiment, we mapped the polar angle and eccentricity of visual areas.

Data acquisition and stimulus presentation. MRI data were acquired from a Philips 3T scanner and analyzed with BrainVoyager QX (Brain Innovation). For each subject, we acquired an anatomical high-resolution image with conventional parameters (T1 turbo field echo; 182 coronal slices; flip angle, 8°; echo time (TE), 4.6 ms; repetition time (TR), 9.6 s; slice thickness, 1.2 mm, field of view (FOV), 256 × 256 mm; in-plane voxel resolution, 1 × 1 mm). For polar and eccentricity mapping, BOLD-MRI was recorded using these settings: gradient echo–echo planar imaging; transversal slice orientation; TR, 2000 ms; TE, 28 ms; FOV, 200 mm; matrix size, 112 × 112; slice thickness, 2.5 mm; slice gap, 0.3; 24 slices; and a sense factor of 2.5. For our main experiment as well as for the location mapping, BOLD-MRI was recorded, using 14 2.5-mm-thick (1.56 × 1.56 in-plane; 0.25 mm slice) coronal slices that covered the back of the head. T2*-weighted images included the following: TE, 28 ms; flip angle, 90°; FOV, 200 mm; 128 × 128 matrix; TR, 1000 ms. These settings provided a detailed scope on visual areas of the brain, but we were not able to do whole-brain analyses. The start of a run was triggered by scanner pulses, and trials were presented with Presentation (Neurobehavioral Systems). Stimuli were front-projected from a liquid crystal display projector on to a screen at the feet of the supine subject. The subject in the MR scanner viewed the screen through a mirror just above the eyes. Total scanning time was ~80 min, and we acquired eccentricity and polar angle mappings and an additional high-resolution scan in a separate scan session. We immobilized the subject’s head using foam pads to reduce motion artifacts and used earplugs to moderate scanner noise.

Eye tracker measurements. We recorded the eye movements (Resonance Technology/Arrington Research) of eight of the subjects during the main experiment and analyzed the number of saccades and the number of eye blinks each of the subjects made. Eye movements were recorded at a speed of 60 Hz by digitizing video images. Data were analyzed by smoothing the recorded data (detrend dataset and smooth adjacent time points) and classifying visual activity as blinking (i.e., loss of a visible pupil, eye-movement faster than 4° of visual angle). We found that people could report 4.24 items (calculated from the percentage of hits and false alarms using Pashler’s H formula; see Materials and Methods) when an attention-directing cue was presented during the retention interval (Fig. 1, retro-cue trial) and 2.55 items when the cue was presented after onset of the test display (Fig. 1, post-cue trial). This difference in performance was highly significant (t_{19} = 5.17; p < 0.001), and it illustrates the capacity differences between weak and strong VSTM. Note that capacity differences are much larger when using shorter retention interval (Sligte et al., 2008), but, given the low temporal resolution of the BOLD response, we need to have a long delay
between memory and test display to isolate memory-related activity.

Restricting the window of fMRI analysis

For two reasons, our analyses need to be restricted to epochs that are not yet influenced by any attention-directing cue. First, before the cue is presented, a few items will be represented in strong VSTM, additional items will be represented in weak VSTM, and some items will not be represented at all. The delivery of a retro-cue, however, may transfer weak VSTM representations to strong VSTM, and this could change memory-related activity. Second, in retro-cue trials, the cue is delivered 4 s after trial start (black arrow) and started to be evident in the BOLD response 6 s after trial start (blue arrow). The delivery of a cue had an effect that was specific to the cued location (and the immediately adjacent ones) yet was riding on top of a general decrease of the BOLD response at all locations, which is probably attributable to anticipation of the subject. The cue-specific effect (BOLD response at cued location — BOLD response at other locations) is shown in blue. All data were computed with a full deconvolution analysis and baseline corrected from 3 s until 1 s before trial start. Depicted data are the mean activity across subjects (n = 20).

To reveal when the cue started to affect the BOLD signal, we sometimes delivered trials in which only an attention-directing cue was presented. The activity on these trials is shown in Figure 3. Note that, at all locations, the BOLD signal in these cue-only trials immediately decreases after trial start. In the present study, cue-only trials are presented on average on 20% of the trials randomly intermixed with the memory trials. Therefore, it is likely that subjects expect to see a memory display on each trial, and new stimulation overwrites whatever was present previously (otherwise change blindness would have occurred). With these aspects of our analysis in place, we can now turn to the results.

Repeated-measures ANOVAs were used to test whether there were differences in neural activity between conditions (activity at the cued location was used for testing). Note that the maximum BOLD response between trial start and 5 s after trial start was used for testing (after that, the cue takes effect; see previous section). We observed no differential activity in V1–V3 (Fig. 4A, small panels on the right). In V4 (Fig. 4A, large panel on the left), however, we did observe significant differences (F(1,17) = 5.44; p = 0.008). Paired t tests revealed that activity was lowest on retro-cue trials with an incorrect response (compared with other conditions, lowest t value, t(19) = 2.31; p = 0.032) and highest on post-cue trials with a correct response (compared with other conditions, lowest t value, t(19) = 2.15; p = 0.045). Both retro-cue trials with a correct response and post-cue trials with an incorrect response evoked similar activity (t(19) = 0.32; p = 0.75) that was intermediate between activity on retro-cue trials with an incorrect response (lowest t value, t(19) = 2.31; p = 0.032) and activity on post-cue trials with a correct response (lowest t value, t(19) = 2.15; p = 0.045). From this, we can conclude that activity in V4 is highest when an item is in strong VSTM, lower when it is in weak VSTM, and lower still when no memory trace is present whatsoever. It seems that, for weak VSTM representations, this pattern of activity is not related to whether behavioral responses are correct or incorrect, so that these differences cannot be attributed to fluctuations in arousal or other factors influencing correct or incorrect behavior. One caveat, however, is that, in some conditions, the status of the stimulus representation in question is uncertain (weak or strong VSTM in correct retro-cue trials; weak

VSTM effects in visual cortex

Based on the behavioral response of the subject, we can determine whether the cued item was in strong VSTM, in weak VSTM, or not in VSTM during retention (so before the cue was delivered) in the following way. An incorrect response on a retro-cue trial suggests that the cued item was in neither weak nor strong VSTM: if it would have been in weak VSTM, the cue would have transferred it to strong VSTM, thereby making the representation available for report. An incorrect response on a post-cue trial suggests that the cued item was in weak VSTM (and during cueing is transferred to strong VSTM). We are ignorant about whether it was already in strong VSTM before the cue: this could have been the case (simply by chance) or not. A correct response on a post-cue trial suggests that the cued location was represented in strong VSTM (otherwise change blindness would have occurred). With these aspects of our analysis in place, we can now turn to the results.

- **Figure 3.** Effect of attention-directing cue. In 20% of the trials, we delivered a cue without presenting the memory and the test array. This was done to measure when the cue took effect during the VSTM task. The cue was presented 4 s after trial start (black arrow) and started to be evident in the BOLD response 6 s after trial start (blue arrow). The delivery of a cue had an effect that was specific to the cued location (and the immediately adjacent ones) yet was riding on top of a general decrease of the BOLD response at all locations, which is probably attributable to anticipation of the subject. The cue-specific effect (BOLD response at cued location — BOLD response at other locations) is shown in blue. All data were computed with a full deconvolution analysis and baseline corrected from 3 s until 1 s before trial start. Depicted data are the mean activity across subjects (n = 20).
or no VSTM in incorrect post-cue trials). Graded effects observed in this case could be carried by one of the two underlying states. It may therefore not be correct to assume that activations increase gradually over no-weak–strong VSTM.

In addition to the previous analyses, we compared activity at the cued location with activity at all noncued locations (Fig. 4B). We found that activity was similar at all locations on retro-cue trials with a correct response and on post-cue trials with an incorrect response. On retro-cue trials with an incorrect response, however, we observed that activity was low at the cued location but was increasingly higher when the retinotopic distance to the cued item increased (F(1,19) = 5.85; p = 0.026). Similarly, we found that, on post-cue trials with a correct response, activity was high at the cued location but was increasingly lower when the retinotopic distance to the cued item increased (F(1,19) = 9.69; p = 0.006). Note that these effects were recorded before any cue so that they reflect the status of the representation during and shortly after disappearance of the memory display. Therefore, the activity of V4 neurons representing each object individually determines whether that object is in weak or strong VSTM or has no VSTM representation at all; it is not a matter of general V4 activity, for instance, fluctuating as a result of lapses of arousal.

Note that the differences between conditions are maximal before the cue is delivered (Fig. 4A) but tend to decrease after onset of the second display. There is one reason why we did not analyze the reduced difference after the cued trial: the physical stimulation of the two trial types (retro-cue/post-cue) starts to differ 4 s after trial start. In retro-cue trials, a cue is shown 4 s after trial start, and the match display appears 5 s after trial start. In post-cue trials, however, the cue is shown 5 s after trial start together with the onset of the match display. Because differences in BOLD signal are so early, they are likely differences in the encoding phase of VSTM.

**Discussion**

Recent studies have suggested that people build up many representations in VSTM but that only a subset of these VSTM representations is stored in a strong way that is protected against visual interference (Griffin and Nobre, 2003; Landman et al., 2003; Sligte et al., 2008). As long as new visual stimulation (for instance, the test display) has not erased unprotected VSTM representations, weak VSTM representations remain available for report when people direct attention to them. In the present study, we aimed to reveal how weak and strong VSTM representations are expressed in visual cortex. We found that weak VSTM depended on sufficient activity in visual area V4 but not on activity in visual areas V1–V3. When an item was represented in strong VSTM, we observed a spatially specific boost in V4 activity. Based on other studies, we can ascribe this boost in activity to the involvement of selective attention (Beck et al., 2001; Pessoa et al., 2002).

**The role of V4 in weak VSTM**

The best starting point to explain the observed pattern of activity is provided by a recent functional MRI adaptation study (Konen and Kastner, 2008). In that study, it was found that many visual brain areas along the ventral and dorsal stream show object-specific adaptation. V1–V3 did not show object-specific adaptation, whereas V4 did. This suggests that the activity we observe here is related to the buildup of persistent object representations not to something like persistent feature-specific information.

V4 seems at a low enough level in the visual hierarchy to allow for the (retinotopic) representation of the relatively large number of objects that is found in weak VSTM. Higher areas, such as the posterior parietal cortex (Todd and Marois, 2004; Vogel and Machizawa, 2004; Vogel et al., 2005) and (maybe) the frontal eye fields, seem to impose the capacity limits that are found in strong VSTM. Because V4 is strongly connected with these higher level areas involved with spatial attention and the direction of eye movements (Ungerleider et al., 2008), it seems like the ideal location to store object-specific information that is not currently attended yet can be used whenever attention needs to be redirected.
However, we cannot be sure that V4 activity is related to the maintenance of weak VSTM. We found that V4 activity greatly differed between conditions just after trial start but tended to decrease afterward. Because short-term memory processes can be divided into encoding, maintenance, and retrieval, it is likely that these early differences in V4 activity reflect the encoding phase of VSTM. It is conceivable that V4 activity reflects the amount of attention at a specific retinotopic location and that this determines whether the item is represented in strong VSTM (full attention), weak VSTM (some attention), or not represented in VSTM (no attention). Alternatively, it can be that strong VSTM representations are only formed when attention is at the right location and that the formation of weak VSTM representations is not dependent on attention at all. The difference between weak VSTM and no VSTM is then determined by other factors, such as sudden lapses in neural activity.

When V4 activity is not (or to a little extent) related to maintenance of weak VSTM, these representations have to be maintained in other areas of the brain, and likely candidates are category-specific areas higher up in visual hierarchy. These category-specific visual areas have large receptive field, but they are still able to code spatial location in a more distributed-code manner. In a recent study (Lepsien and Nobre, 2007), it was indeed found that activity in the parahippocampal place area (place stimuli) and the fusiform face area (face stimuli) was modulated by the presentation of a retro-cue, and this modulation could reflect a transition from weak VSTM to strong VSTM and back again.

A form of iconic memory or VSTM?

Traditional models of immediate memory distinguish between sensory memory (very high capacity; duration <500 ms) and short-term memory (very limited capacity; long duration). Here, we have shown that people maintain more representations than can fit into VSTM for more than 4 s and that retrieval of these additional representations depends on the amount of activity in visual area V4. This seems to place these additional representations intermediate of sensory memory and standard notions of VSTM. Nonetheless, one could still question whether we are not measuring some kind of long-lasting iconic memory rather than a form of VSTM with high capacity.

Weak VSTM (as we have defined it) bears many resemblances to iconic memory. For instance, the experimental design for measuring weak VSTM is strikingly similar to iconic memory designs. In both, subjects are shown images containing multiple items and subjects must remember as many items as possible up to the presentation of the cue. After the presentation of the retro-cue/partial-report cue, memory load is reduced to one/few items. Differences between the experimental setups are that subjects are shown additional test images in this new task and that response options are limited. This difference (stimuli shown once vs twice; free recall vs two-alternative forced choice) could explain why a cued VSTM task could capture iconic memory effects for longer periods of time.

Also the characteristics of iconic memory are similar to the characteristics of weak VSTM: first, the capacity of iconic memory scales with the number of items to remember (Sperling, 1960), and we observed similar effects in retro-cue conditions with cues delivered 1000 ms after image disappearance (Sligte et al., 2008). Second, iconic memory is very volatile and is easily overwritten by subsequent visual stimulation. Strong VSTM representations, however, are resistant to the presentation of new and/or distracting information. We found that weak VSTM is also easily overwritten when new stimulation is presented just before arrival of the retro-cue (Sligte et al., 2008), and, in that sense, it resembles iconic memory. Third, iconic memory decays rapidly over a period of ~500 ms when using traditional paradigms. Weak VSTM shows a similar decay curve (Sligte et al., 2008), but decay rate is slower.

Conversely, there are also important dissimilarities. Weak VSTM seems to be a “higher-level” representation than traditional iconic memory, which is often conceived of as a raw “snapshot” of the features of a visual scene. In weak VSTM, perceptual properties such as feature binding and figure–ground organization are encoded (Landman et al., 2003, 2004). Moreover, even when using the same change detection paradigm as used here, differences can be found between weak VSTM and something that is more like traditional iconic memory: traditional iconic memory traces are wiped out by any new stimulus, even a homogeneous luminance screen (Sligte et al., 2008), whereas weak VSTM is not erased by homogenous or even textured screens, only by a new scene that contains object information (Landman et al., 2004; Sligte et al., 2008).

In summary, we are neutral to grouping weak VSTM into the domain of either iconic memory or VSTM, and the denominator used here (weak VSTM) is only intended to indicate its intermediate stage.

A fleeting form of consciousness?

The idea of additional object representations outside of VSTM finds its parallel in several theories of consciousness. Block (2005, 2007), for instance, argues for a distinction between phenomenal awareness (or “what we see”) and access awareness (or “what we keep in mind”) based on the enormous amount of information we experience seeing and the little information we can remember from one moment to the next (for congruent ideas, see Crick and Koch, 1990; Lamme, 2003, 2006). The transition between phenomenal and access awareness, and thus the availability for conscious report, is caused by the involvement of selective attention. From this theory, it follows that, as long as representations exist in phenomenal awareness, they can be made available for conscious report by directing attention to them.

A similar but neurally inspired theory distinguishes between unconscious, preconscious, and conscious forms of visual processing (Dehaene et al., 2006). Unconscious processing is characterized by the absence of recurrent processing (RP), and visual awareness indeed never arises in the absence of RP (Hupé et al., 1998; Lamme et al., 1998, 2002; Lamme and Roelfsema, 2000; Pascual-Leone and Walsh, 2001; Supèr et al., 2001; Jolij and Lamme, 2005; Fahrenfort et al., 2007). In some cases, a stimulus will evoke enough activation for conscious access, but top-down attention is simply not available at the moment or occupied elsewhere [as in attentional blink paradigms (Raymond et al., 1992) or the current experiment]. As a consequence, the stimulus will evoke RP confined to visual and temporal areas. As long as this local RP is present, the stimulus representation will still be available for conscious access once top-down attention is redeployed. When that happens, activity in visual and temporal areas is boosted and RP includes frontoparietal regions occupied with top-down or selective attention.

These theories seem to qualify weak VSTM as what remains of phenomenal awareness (or preconscious processing) after the stimulus has been removed and strong VSTM as what remains of access awareness.
References
Supplementary Figure 1 This figure is a Supplement on Figure 4 in the main article. Here, we show V4 activity on trials with a missed response compared to all other trials. We did not perform statistical testing on these data as some subjects did have any missed response on either retro-cue or post-cue trials making statistical testing unreliable. Depicted data are the mean activity over subjects (N = 20).
Supplementary Figure 1: V4 activity on post-cue trials and retro-cue trials with missed responses

V4

Normalized parameter estimates

Post-cue, correct response
Post-cue, incorrect response
Post-cue, missed response

Time in seconds (TR = 1s)

-0.02

0.02

0.04

0.06

Retro-cue, correct response
Retro-cue, incorrect response
Retro-cue, missed response

Time in seconds (TR = 1s)

-0.02

0.02

0.04

0.06